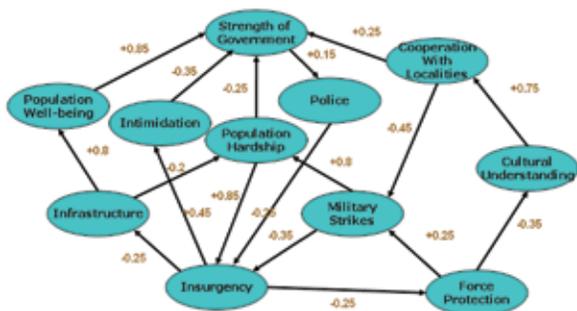


## Estimating Population Attitudes with CogSim

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**Introduction:** Estimating the nonkinetic effects of irregular warfare (IW) actions on the population, such as those described in the U.S. Army counterinsurgency manual,<sup>1</sup> is now incorporated into the analysis of IW war games. CogSim is an agent-based population attitude model developed at NRL as a prototype to predict coalition formation between several groups based on social science theories, namely the personal construct theory and social learning theory. In this approach, a cognitive agent adapts its belief system, represented in a casual belief map, in response to its social environment characterized by the belief systems of other agents with whom it interacts. The claim of this model is that group mental models will emerge from individual mental models through the learning and adaptation of causal belief maps.

**Causal Belief Maps:** Causal belief maps are graphical models of perceived cause-and-effect relationships between concepts, events, and actions expressed as directed edges between nodes (Fig. 1). They differ from other graphical representations for problem solving,



**FIGURE 1**  
Counterinsurgency causal belief map based on interpretation of the article *To Defeat the Taliban — Fight Less, Win More* by N. Fick, *The Washington Post*, August 12, 2007, and other texts.

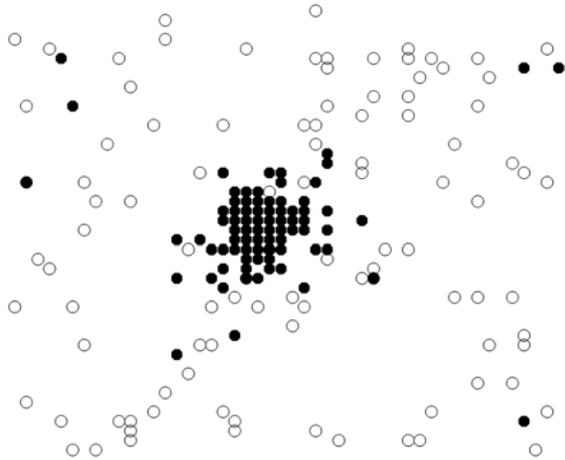
such as Bayesian belief nets and influence diagrams, mainly because feedback loops, i.e., cycles, are possible. Causal belief maps are therefore well suited to represent complex models of interactions that evolve over time, such as mental models relating a set of beliefs. Positive or negative causality is specified on the edges to indicate whether an increased strength in a causal node generates an increased or decreased strength in the related node. Fuzzy causal belief maps<sup>1</sup> further expand this representation by assigning a value to the edges in the fuzzy causal range  $[-1, 1]$  and a value to

the nodes in the fuzzy range  $[0, 1]$ . Fuzziness is a type of uncertainty associated with ambiguous rather than probabilistic events and thus can quantify linguistic expressions of beliefs. Given a causal belief map with quantified causal beliefs and randomized initial node strength values, approximated final strength values at the nodes can be inferred through dynamic programming as a recursive function of the sum of all values of the incoming edges multiplied by the current value of the causal nodes. Fuzzy causal belief maps have been approximated successfully as an associative neural network.<sup>1</sup> The output of the inference process after several iterations indicates whether there is a convergence to a fixed set of values, to a cycle of values, or to chaos if no convergence was possible.

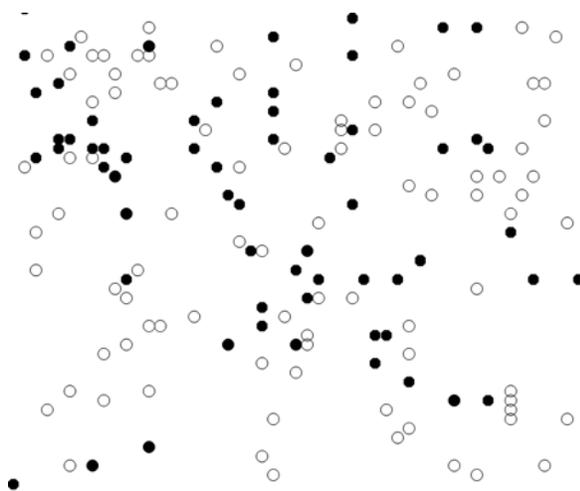
**Particle Swarm Optimization (PSO):** The PSO algorithm is based on the cultural learning metaphor<sup>2</sup> in which an agent, represented as an  $n$ -dimensional feature vector, adapts its solution in the problem space through several iterations of the algorithm from its social interactions in a population and also from past individual performance results. Cultural evolution in PSO is contrasted with genetic evolution where learning occurs only at the population level and not at the agent level. Two types of interaction are usually distinguished: (1) a top-down type of interaction based on normative and social neighborhood knowledge of “best” performance,  $gbest$  for a global neighborhood or  $lbest$  for a local neighborhood, and (2) a bottom-up type of interaction based on internal or personal cognitive knowledge of the “best,”  $pbest$ . Additionally,  $pbest$  acts as the agent’s episodic memory of past performances. Those cognitive and social influences are modulated by the stochastic parameters  $\phi_1$  and  $\phi_2$ , respectively. The relative strength of  $\phi_1$  and  $\phi_2$  can reflect the degree of individualism that is specific to a culture.

**CogSim Algorithm:** Coalitions are formed when individual members of the population find partners with which they can cooperate.<sup>3</sup> Through trials and errors and adaptation, agents discover which partners to cooperate with and self-organize into groups. The fitness of an interaction for an individual in the population is represented by the strength ratio of the sum of desirable outcomes over the sum of all outcomes in their causal belief map and can be thought of as “happiness.” This fitness will drive the interaction preference of an agent toward another agent. Those preferences are visualized by moving the agents closer or farther from each other on a two-dimensional grid in order to reconcile topological distance with ideological and cultural distance. Unlike other models of social interaction that assume a fixed neighborhood or a fixed social network, the agents, through this proposed algorithm,

have the capability of redefining their own local neighborhood at each iteration. Finally, the causal belief map of the agents is updated from *pbest* and *lbest* by adjusting the structure of the nodes and the strength of the causal beliefs between nodes. Experiments (Figs. 2 and 3) are shown comparing simulations with and without adaptation. The degree of coalition (Fig. 4) is measured as the inverse total variance of the population in the 2D space obtained with an iterative *k*-means clustering procedure.

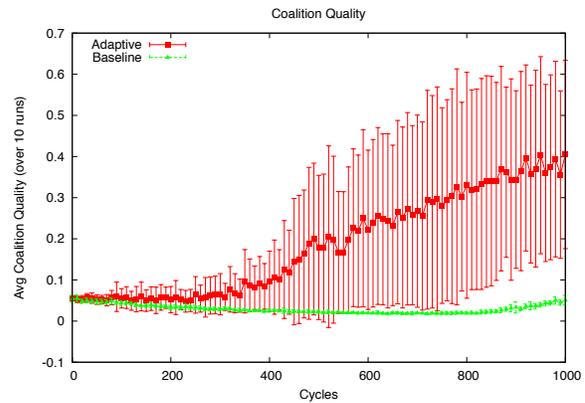


**FIGURE 2**  
Group formation: the filled circles indicate the final state of the simulation after adaptation.



**FIGURE 3**  
Dispersion: the filled circles indicate the final state of the simulation without adaptation.

**Summary:** This approach has shown how to incorporate belief changes in group formation and provides insight on the mechanism of group formation at a more fundamental level than the stated position and influence of key actors. This approach can be used to model the interactions of agents from different cultures



**FIGURE 4**  
Coalition quality comparison.

represented by a set of prescriptive rules (e.g., proverbs or narratives). For example, the impact of a foreign presence in a multiethnic society can be modeled, quantified, and evaluated over several time cycles based on the interaction of cognitive agents. Comparisons between initial and final causal belief map variants can provide structural content insights in addition to predictive trends. This agent-based model can be coupled with a discrete-event simulator to synchronize with the timeline of IW war gaming scenarios.

[Sponsored by the NRL Base Program (CNR funded)]

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## Maritime Threat Detection

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**Introduction:** Detecting and preventing small vessel attacks is crucial for protecting Navy personnel and assets in busy maritime locations, as exemplified by the USS *Cole* bombing and related incidents. While some force protection can be performed unaided, watchstanders increasingly depend on decision aids to combat surveillance data overload. Deployed systems for local maritime surveillance perform perimeter

defense, raising alerts when a vessel penetrates an “electronic fence” about a shoreline or asset. Although often effective, this approach cannot detect threats based on analysis of vessel behavior outside of this perimeter, nor reason about intent or coordinated threats.

We claim that machine learning methods, and in particular probabilistic relational networks (PRNs), can acquire models of small vessel behaviors to more accurately predict maritime threats. PRNs compactly encode a distribution in a multidimensional space, model variable independencies, and have well-understood mathematical foundations. While we studied methods for classifying the behavior of small maritime vessels<sup>1</sup> and identifying anomalous tracks,<sup>2</sup> no prior research has applied PRNs to small vessel threat assessment. Therefore, for this task we tested three types of PRNs that vary in the relations they can represent and their methods for learning and inferencing.

**Algorithms:** We chose hidden Markov models (HMMs), conditional random fields (CRFs), and Markov logic networks (MLNs) because they performed well on many tasks. HMMs are graphs whose nodes denote hidden states and whose links denote state transition probabilities. They model the *joint* distribution  $p(y_i, x_i)$ , for observation  $x_i$  and state  $y_i$  at time  $t$ , and assume that state transitions depend only on the preceding state and observations depend only on the current state. HMM learning and inferencing is performed using the forward-backward and the Viterbi algorithms, respectively. The basic HMM model cannot easily represent local features and spatial relations. In contrast, CRFs model local features using the *conditional* distribution  $p(y | x)$  and reason with interdependent features, which exist in this task. We used a gradient descent algorithm to learn CRF parameter settings, and the Viterbi algorithm for inference. CRFs cannot model spatial relations (e.g., the distance between two vessels), whereas MLNs can, by combining first-order logic (FOL) with a probabilistic interpretation to represent states. Unlike FOL, MLNs can model domains where constraint violations have low probability but are not impossible. An MLN is a set of pairs  $(F_i, w_i)$  where  $F_i$  is a FOL formula and  $w_i \in [0, 1]$ . Together with a set of constants  $C$  it defines a Markov network  $M_{L,C}$  containing one node per grounding of each predicate in the set

of possible groundings  $L$  and one feature per grounding of each formula  $F_i \in L$ . This network can vary widely in shape and size depending on its constraints. We used maximum pseudo log-likelihood estimation for generative training and MC-SAT for inference.

**Data:** Our data were provided by Spatial Integrated Systems, who conducted exercises during Trident Warrior 2010 in San Diego Harbor, in which two autonomous unmanned sea surface vehicles (USSVs) were tasked with blocking two human-controlled boats from “attacking” a high-value unit (HVU). Data streams from USSV-mounted sensors were collected and fused to create the corpus’ tracks, which we cleaned and synchronized. We manually annotated each track instance as *Attacking*, *Cruising*, or *Escaping*, and computed four features per instance (e.g., the *Prior Activity* that a vessel performed in the prior time step, and *In Front of HVU*, which denotes whether the boat is bearing on the HVU). This produced two sets of tracks, each of 53 minutes duration, where an instance corresponds to 10 seconds.

**Evaluation and Results:** We trained and applied the PRNs to predict, at each instance, whether a boat is attacking the HVU, and used precision, recall, the  $F_1$  measure, and run-time to assess performance. We used a twofold cross-validation protocol and included two baseline algorithms: *Default* predicts that every instance is an attack, while *Perimeter Rule* mimics the perimeter defense strategy. We optimized the window sizes used for the PRNs and the triggering distance for *Perimeter Rule*. Table 1 displays some of the results, which provide initial support for our claim. As expected, *Default* had low precision. *Perimeter Rule* performed well for the first set but not the second set, which contains few *Attacking* instances. MLNs attained the highest  $F_1$  scores for both sets, which may reflect their ability to represent spatial relations and learn weight settings from few training instances. However, they were expensive to train and test, whereas the other PRNs were highly efficient.

**Discussion:** MLNs were accurate, but required substantial trial and error to create a good rule set. Methods for automatically learning structure, and

TABLE 1 — Comparative Results for Predicting Attack Instances

Algorithm	Trained on Set #1			Trained on Set #2				
	Precision	Recall	$F_1$	Precision	Recall	$F_1$	Time	
Default	0.04	1.00	0.07	0.02	1.00	0.03	Training	Test
Perimeter Rule	0.38	1.00	0.55	0.04	0.37	0.08	N/A	0.3
HMM	0.40	0.46	0.43	0.06	0.40	0.10	1.3	0.4
CRF	0.63	0.11	0.19	0.11	0.57	0.18	3.6	0.3
MLN	0.42	1.00	<b>0.59</b>	0.11	1.00	<b>0.19</b>	82.0	47.0

more efficient learning and inferencing algorithms, are warranted for applying MLNs to this task. In the future, we will address the topic of coordinated attacks, study intent and plan recognition techniques, and test our algorithms on board USSVs under real-time conditions.

**Acknowledgments:** Many thanks to Spatial Integrated Systems for providing the data we used in this investigation and to the Office of Naval Research (ONR 33) for sponsoring this research.

[Sponsored by ONR]

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## NRL Flight Tests Autonomous Multi-Target, Multi-User Tracking Capability

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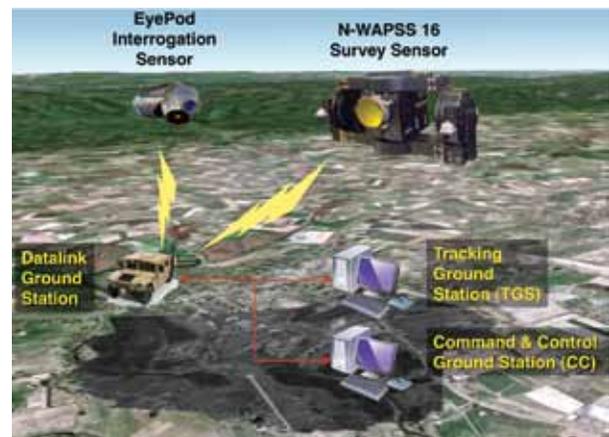
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<sup>4</sup>*Office of Naval Research*

**Introduction:** The Naval Research Laboratory has demonstrated an autonomous multisensor motion-tracking and interrogation system that reduces the workload for analysts by automatically finding moving objects, then presenting high-resolution images of those objects with little to no human input. Intelligence, surveillance, and reconnaissance (ISR) assets in the field generate vast amounts of data that can overwhelm human operators and can severely limit an analyst's ability to generate intelligence reports in operationally relevant time frames. This multi-user tracking capability enables the system to manage the collection of imagery without continuous monitoring by a ground or airborne operator, thus requiring fewer personnel and freeing up operational assets. The Office of Naval Research (ONR)-sponsored multisensor motion-tracking and interrogation demonstration leveraged three systems developed under prior ONR programs: N-WAPSS, a wide-area survey sensor; the

ground stations, providing sensor control and motion tracking; and EyePod, an interrogation sensor.

**Wide-Area Survey Sensor:** The midwave infrared (MWIR) Nighttime Wide-Area Persistent Surveillance Sensor (N-WAPSS) was developed with ONR support for the Angel Fire program and then transitioned to the Air Force Blue Devil program. N-WAPSS is a 16-megapixel, large-format camera that captures single frames at 4 Hz and has a step-stare capability with a 1 Hz refresh rate.<sup>1</sup> The 16-megapixel imagery is compressed on board the sensor using an NRL-developed hardware implementation of JPEG2000 compression. The compressed images are sent to the Tracking Ground Station (TGS) via the high-speed Tactical Reachback Extended Communications (TREC) data link provided by the NRL Information Technology Division. See Fig. 5.

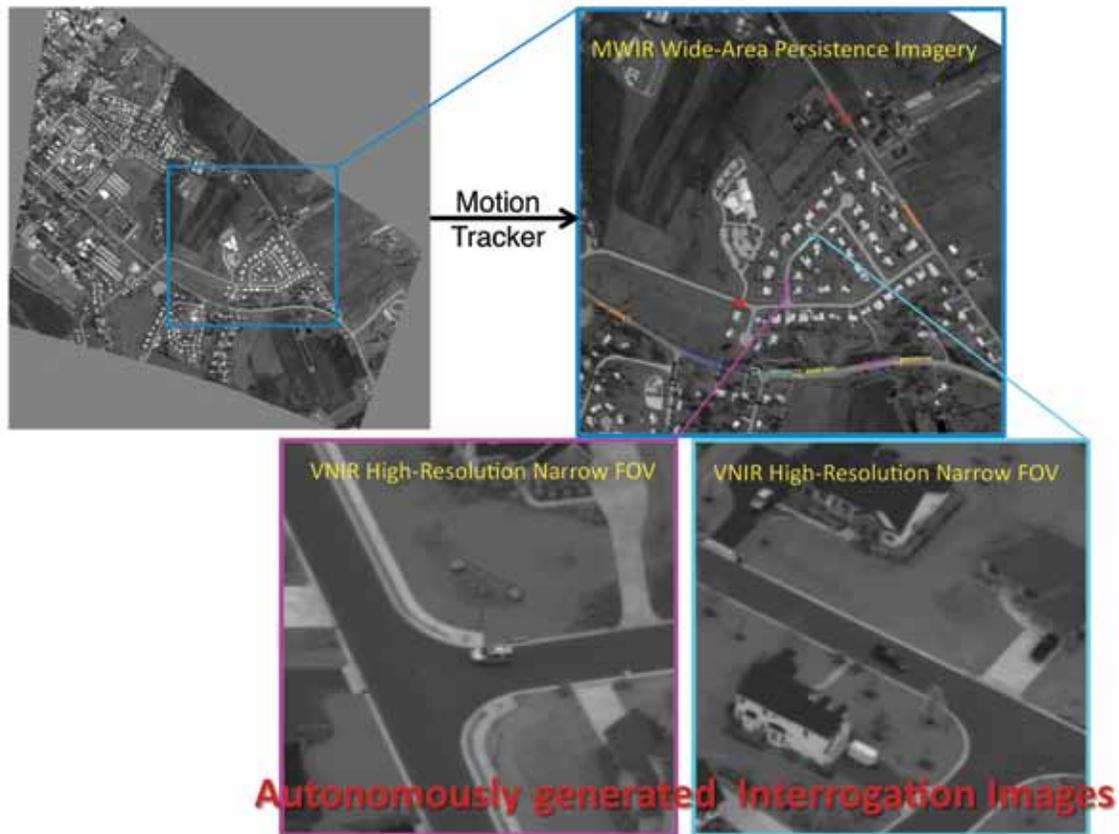


**FIGURE 5**

Schematic of the sensor network. The N-WAPSS survey sensor downloads compressed images through the data link to the ground stations for tracking and command and control. The control commands are uploaded through the data link to EyePod, the interrogation sensor.

**Ground Stations:** The ground stations are composed of two computers, each with a different task: tracking and command and control. The TGS uses precision geoprojection of the N-WAPSS imagery to detect and track all moving vehicle-sized objects in the field of view in real time<sup>2</sup> (see Fig. 6). The tracks are converted to georeferenced cues and sent via a ground-based network to the Command and Control Ground Station (CCGS). The CCGS manages these cues autonomously and tasks the interrogation sensor to image all selected tracks for target classification and identification.<sup>3</sup> The low-bandwidth CCGS commands are sent to the interrogation sensor via the TREC data link.

**Interrogation Sensor:** The georeferenced cues are sent to EyePod, a precision jitter-stabilized inter-



**FIGURE 6**  
 An example intelligence report featuring (from left to right and top to bottom) the full field of view (FOV) of the survey sensor data, the output of the motion tracker, and the autonomously generated high-resolution images of two specified tracks (purple and cyan).

rogation sensor. EyePod was developed under the ONR-sponsored Fusion, Exploitation, Algorithm, and Targeting High-Altitude Reconnaissance (FEATHAR) program. EyePod has both a visible/near-infrared (VNIR) and a long-wave infrared (LWIR) sensor mounted inside a 9-inch gimbal pod assembly designed for small UAV platforms.<sup>4</sup> This demonstration used the VNIR channel to maximize spatial resolution. The capture rate of EyePod was limited by the slew rate of the mechanical gimbal, with typical values between 1 and 4 Hz.

**Results:** During flight tests in March 2011, the two sensors, data link, and ground stations were employed in a real-time persistent surveillance exercise. In that exercise, multiple real-time tracks generated by the wide-area persistent surveillance sensor were autonomously cued to the high-resolution, narrow-field-of-view interrogation sensor via an airborne network. Tracks were presented to the user working at the ground station, and the user selected tracks of interest (TOIs). The geodetic coordinates of those tracks were then passed on to the interrogation sensor as they were

updated each second. The TOIs were imaged at high resolution by a narrow-field-of-view VNIR sensor and were presented to the user for classification and identification. Figure 6 shows a representative data set.

The motion tracker was able to maintain lock on up to 12 vehicle-sized targets from the wide-area imagery spanning a 0.25 km<sup>2</sup> region. Since this flight test, the tracker has been upgraded to cover more than 2.25 km<sup>2</sup> with tens of tracks at real time. Limitations in the slew rate of the interrogation sensor provided a practical limitation of around four simultaneous tracks, granting a refresh rate on each target between 0.5 and 4 Hz.

**Conclusions:** NRL has demonstrated a capability that will make more efficient use of current ISR assets with a smaller personnel footprint. This architecture creates military intelligence products in operationally relevant time frames to support forces in the field. This architecture also provides for collaboration between wide-area persistent surveillance assets and close-in high-resolution imagers. Future work will be done to automatically classify targets from the high-resolution

imagery. In addition, the motion-tracking system is being upgraded to allow for stopped vehicle detection.

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